

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/710,646	11/10/2000	Sameh A. Fakhouri	YOR920000201US1(13731)

Richard L Catania  
Scully Scott Murphy & Presser  
400 Garden City Plaza  
Garden City, NY 11530

## FORMALITIES LETTER



\*OC000000005787258\*

Date Mailed: 02/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

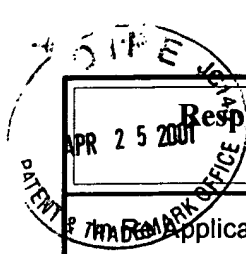
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/27/2001 NBERHE 00000032 500510 09710646

01 FC:105 130.00 CH

#Sector



**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**YOR920000201US1(13731)**

Application Of: **Sameh A. Fakhouri, et al.**

Serial No.  
**09/710,646**

Filing Date  
**November 10, 2000**

Examiner  
**Unknown**

Group Art Unit  
**2151**

Invention: **A DECISION BASED SYSTEM FOR MANAGING DISTRIBUTED RESOURCES AND MODELING  
THE GLOBAL OPTIMIZATION PROBLEM**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

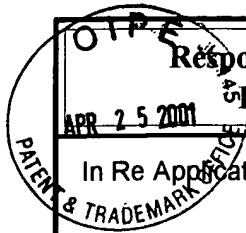
**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
**February 21, 2001**  
*Date*

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ Other (list):

**Associate Power of Attorney**



**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**YOR920000201US1(13731)**

In Re Application Of: **Sameh A. Fakhouri, et al.**

Serial No.  
**09/710,646**

Filing Date  
**November 10, 2000**

Examiner  
**Unknown**

Group Art Unit  
**2151**

Invention: **A DECISION BASED SYSTEM FOR MANAGING DISTRIBUTED RESOURCES AND MODELING THE GLOBAL OPTIMIZATION PROBLEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = \_\_\_\_\_

☐ Total number of claims = \_\_\_\_\_

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

☐ Petition and fee for filing by other than all the inventors or a person not the inventor

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due **\$130.00**

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**YOR920000201US1(13731)**

In Re Application Of: **Sameh A. Fakhouri, et al.**

Serial No.  
**09/710,646**

Filing Date  
**November 10, 2000**

Examiner  
**Unknown**

Group Art Unit  
**2151**

Invention: **A DECISION BASED SYSTEM FOR MANAGING DISTRIBUTED RESOURCES AND MODELING  
THE GLOBAL OPTIMIZATION PROBLEM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$130.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0510/IBM**  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **50-0510/IBM**  
A duplicate copy of this sheet is enclosed.

  
Signature

**Richard L. Catania**  
**Registration No. 32,608**

**SCULLY, SCOTT, MURPHY & PRESSER**  
**400 Garden City Plaza**  
**Garden City, New York 11530**  
**(516)742-4343**

CC:

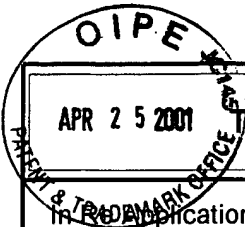
Dated: **April 23, 2001**

I certify that this document and fee is being deposited on  
**April 23, 2001** with the U.S. Postal Service as first  
class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

  
Signature of Person Mailing Correspondence

**Janet Grossman**

Typed or Printed Name of Person Mailing Correspondence



0360 #4

APR 25 2001

**TRANSMITTAL OF FORMAL DRAWINGS**

Docket No.  
**YOR920000201US1(13731)**

In Re Application Of: **Sameh A. Fakhouri, et al.**

Serial No.	Filing Date	Batch No.	Examiner	Art Unit
09/710,646	November 10, 2000	Unknown	Unknown	2151

Invention: **A DECISION BASED SYSTEM FOR MANAGING DISTRIBUTED RESOURCES AND MODELING THE GLOBAL OPTIMIZATION PROBLEM**

Address to:  
**Assistant Commissioner for Patents  
Washington, D.C. 20231**

Transmitted herewith are:

18 sheets of formal drawing(s) for this application.

Each sheet of drawing indicates the identifying indicia suggested in 37 CFR Section 1.84(c) on the reverse side of the drawing.

*Signature*

**Richard L. Catania  
Registration No. 32,608**

**SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, New York 11530  
(516)742-4343**

Dated: April 23, 2001

I certify that this document and attached formal drawings are being deposited on April 23 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Janet Grossman**

*Typed or Printed Name of Person Mailing Correspondence*